



Pioneering New Trails



**2011 TASEFAA
Annual Report**

Texas Association of Student Financial Aid Administrators

President's Message

by Joseph P Pettibon II

Dear TASFAA,

It only seems appropriate to begin by saying thank you for the opportunity and privilege to have served as your President for the past year. Without a doubt, this has been a challenging and changing year (aren't all of them lately) with significant reductions in federal and state aid for the first time in my memory. If nothing else, we have continued to show that we are a flexible and resilient bunch of professionals who know how to deal with a changing landscape.

I also want to say thank you to all of the board members, committee chairs, and committee members who have enabled this to be another successful year for the Association. I want to extend a special thanks to Shannon Crossland, your Vice President, who has been the real force behind the board's activities this year. Without her dedication, this year would not have been as successful.

Also, thank you to April Gonzales (Regional Training), Lynn Barnes (Early Awareness), Erin Porter (Training), Melet Leafgreen & Stephen Brower (Newsletter), and Beth Reid & Jason Young (Fall Conference). All have made significant contributions this past year. Of course, thanks also should go to Alan Ahmad for all of his work.

Among the numerous accomplishments of the Association this year, here are a few to highlight:

- Our annual conference in October with the theme of "Pioneering New Trails", with a pre-conference workshop on default aversion by TG
- New Aid Officers' Workshop and ABCs Workshop
- Our restructured Regional Training program in partnership with TG and THECB - we doubled attendance from prior years with only six locations
- Our first ever Financial Aid Summit for director-level staff in May to discuss program integrity rules and legislative issues
- Training for 837 high school counselors and others in every region of the state utilizing NTCM training materials (30 sessions in 26 locations)
- Increased the financial position of the Association through investments with Rodgers Capital, Inc.
- Launched a redesigned TASFAA website
- Continued excellence in our newsletter
- Signed contract to return the TASFAA Fall Conference to Galveston in 2013
- Revised the Listserv policy

Finally, let me close with a special thank you to Tia Clary who will depart from the TASFAA Board after serving six years as our Treasurer. She has done an outstanding job keeping the books, complying with the audits, tracking our investments, and ensuring TASFAA remains sound financially. Her service has been outstanding.

As my year ends soon, I wish Harold Whitis, our incoming President, the best.

Sincerely,



Joseph P Pettibon II

Officers 2010-2011

President

Joseph Pettibon,
Texas A&M University

Vice President

Shannon Crossland,
Texas Tech University

President Elect

Harold Whitis,
Texas A&M Health Science
Center

Past President

Carolyn J. Jones,
Collin County Community
College

Treasurer

Tia Clary,
Lubbock Christian University
Becky Wilson (elect),
Texas Tech University

Secretary

Iroghama Omere,
University of Houston

Board Members

Stephen Brower,
Hardin-Simmons University
Mike Carranza,
South Texas College
Mari Chapa,
University of Texas -
Brownsville & Texas Southmost
College
Laurie Coulter,
Austin College
Enrique Garcia,
Del Mar College
Debra LaGrone,
Texas A&M University -
Texarkana
Melet Leafgreen,
Texas Christian University
Dana Mingo,
Dallas County Community
College District
Ed Turney,
University of North Texas

TASFAA Mission Statement

Mission: TASFAA is a professional organization that provides a leadership role in training, education and collaboration, developing future financial aid leaders and promoting access to higher education for all students. We advocate for improvements in aid programs through partnerships with industry leaders and legislative involvement. As an organization, we strive to uphold the excellence and high ethical standards of the financial aid community.

Vision: Recognized as the leading authority on financial aid in Texas.

Core Values:

- **Ethics:** Foster an atmosphere of integrity, honesty, openness, accountability, and trust both corporately and individually
- **Inclusiveness:** Foster a sense of belonging through acceptance of diverse thoughts and philosophies.
- **Collaboration:** Work together toward common goals by openly sharing knowledge and resources while engaging in partnerships with broader communities.
- **Excellence:** Reach our vision by exceeding expectations, using innovative and creative solutions.
- **Leadership:** Build on the foundation of previous leaders, enhance the skills of our current members, and guide the emerging leadership of our organization.

TASFAA Volunteer Form

TASFAA is a volunteer organization. Your participation is needed. Membership on a committee benefits your colleagues, students and parents, as well as providing an opportunity for personal and professional development.

If you have further questions regarding the activities of a specific committee, please feel free to contact the current Committee Chair or a member of the Executive Board.

Please Note: YOU MUST BE A CURRENT MEMBER OF TASFAA TO SERVE ON A COMMITTEE. If you are not a current member please complete a membership application. If you don't know if your TASFAA membership is current you can check your membership status when completing this form.

The form can be found at www.tasfaa.org under "Member Services".



TASFAA Committee Reports

TASFAA Committee Reports

Awards Committee

The TASFAA Scholarship and Awards Committees have been combined for 2010-2011. Members included:

Christina Quintanilla, Chair, Texas Lutheran University
Laurie Coulter, Austin College
Rayanne Hukill, Weatherford College
Dana Mingo, Eastfield College
Elsa Ramos, University of Texas – Austin
Cathleen Wright, Texas Lutheran University

In late April, the committee invited everyone to apply for the Mack C. Adams Professional Development Scholarship. The scholarship was made available for the New Aid Officers Workshop (NAOW) in May. This scholarship was designed to assist financial aid professionals with the cost of attending TASFAA-sponsored training opportunities. Along with financial assistance, recipients also receive an opportunity to grow as a financial aid professional and make important connections with others in their field. Applications for the NAOW scholarship were made available in late April and recipients were notified by May 11. There were 6 applicants for the Mack Adams scholarship, and 5 scholarship recipients who received \$200 each toward their expenses associated with attending the NAOW. Due to budget constraints, the TASFAA board elected not to award the Mack Adams scholarship for the fall conference. Future scholarships are expected to be awarded using proceeds from upcoming fundraisers. The TASFAA Board will continue to discuss the future of the Mack Adams scholarship and its place and purpose within our organization.

Prior to sending out the 2010-11 service pins, the committee needed to replenish the supply of pins. The additional ordered stock of service pins was not available in time for the fall conference, so these pins will be mailed to recipients immediately upon delivery. All available service pins were given to attendees at the fall conference. The Awards Committee distributed/will distribute the following Years of Service pins:

67 pins for 10 Years of Service
41 pins for 15 Years of Service
25 pins for 20 Years of Service
29 pins for 25 Years of Service
16 pins for 30 Years of Service
7 pins for 35 Years of Service
1 pin for 40 Years of Service
1 pin for 45 Years of Service

Congratulations to all and thank you for your dedication to TASFAA and the financial aid profession.

Nominations for the Financial Aid Star Advisor, Trailblazer and Hall of Fame awards were solicited. After reviewing the nominations, the committee's recommendations were sent to the TASFAA Board for their consideration. The recipients of the awards were announced at the TASFAA Fall Conference in October 2011.

Communications Network Committee

Alan D. Ahmad, Chair, Texas A&M Health Science Center
Deborah Whitis, Texas Higher Education Coordinating Board

During the 2010-11 year, Communications Network Committee and ATAC Corporation have focused on tasfaa.org website structure, design and Association information.

- Programs and projects:
- Website redesign: Format, graphics, pages
- Conference mini-site: Graphics, information
- Document and information edits and updates: Governing documents, Resources, Time Capsule
- Templates and forms: Voting, registrations, reporting
- Committee requests: Training, Newsletter
- Current activity: Researching process and benefits of TASFAA participation in alternative types of social media (i.e. Facebook).

Website visits and usage during the period August 1, 2010 and July 31, 2011:

- Job postings: 108 (6.93% increase from 2010)
- Tasfaal Listserv posting: 442 (2.55% increase from 2010)
- Website hits (count of everything accessed on website): 1,133,670 (20.76% increase from 2010)
- Unique visitors: count of each individual IP address that accessed website): 30,167 (27% increase from 2010)
- Actual website visits: 175,799 (12.60% increase from 2010)
- Members subscribed to Listserv: 1790 (81.18% of active membership)



TASFAA Committee Reports

Early Awareness Committee

Lynn Barnes, Chair, Texas A&M University
 Linda A Gonzalez-Hensgen, El Paso Community College
 Don W Hilton, Ranger College
 Raul H Lerma, El Paso Community College
 Marcos J Mendez, Texas A&M University
 Richard Sapp, TG
 Lynda Sheets
 Jessica Solcich
 Mary T Teel, Texas A&M University

The Early Awareness Committee's focus this year was coordinating the NT4CM training program in February, geared toward training high school counselors on financial aid principles. This past year we had a total of 867 attendees statewide scheduled in 30 sessions at 26 locations. The committee would like to thank all of those institutions who hosted or assisted with a training session. Without your help, this program would not be a success.

Although we initially had a site in each TEA region, there were a few sites that had cancellations (one weather related cancellation) or in some cases nobody showed up. The attendee numbers are listed next to each location.

Date	Region	Location	Attendees
November 15, 2010		Lee College	0
November 19, 2010	16	Amarillo College	88
December 3, 2010	15	Angelo State University	18
December 3 & 9, 2010	20	Cafe College, San Antonio (2 sessions)	87
December 6, 2010	1	UTPA, Edinburg – (2 sessions)	117
December 6, 2010	2	A&M University – Corpus	42
December 8, 2010	10	TAMU – Commerce	0
December 8, 2010	14	Lubbock Christian University	50
December 8, 2010	1	Texas A&M International University	71
December 8, 2010	7	LeTourneau University	33
December 9, 2010	12	TSTC Waco	0
December 10, 2010	11	Texas Christian University	45
December 10, 2010	5	Lamar State College	20
December 13, 2010	6	Texas A&M University	21
December 13, 2010	9	Regional 9, Wichita Falls	45
December 14, 2010	13	St. Edwards University	63
January 12, 2011	14	Abilene Christian University	0
January 17, 2011	18	Howard College, Big Spring	17
January 19, 2011	10	Austin College	14
January 19, 2011	19	El Paso Community College (2 sessions)	34
January 26, 2011	3	University of Houston-Victoria	0
February 01, 2011	4	J. Frank Dobie High School-Hou	82
February 02, 2011	8	Paris Junior College	0
February 04, 2011	10	Dallas CC (0) – Cancelled, to weather	0
February 11 & 18, 2011	4	University of St. Thomas-Hou	24
February 28, 2011	4	Houston Comm College	6
			Total: 867

Our plans for the upcoming year will be to once again host at least one program in each TEA region with a goal of serving over 1,000 counselors. Also, some large areas like Houston had very large demand, so we will attempt to secure even more locations strategically placed so that we can provide as much opportunity as possible.

The one big change this coming year is that there will no longer be any funding for materials to be printed. All materials will be available on-line, but each site will be responsible for providing materials if they are able to. We will attempt to secure donations, external funding, and funds from TASFAA to provide the materials if at all possible.

TASFAA Committee Reports

Fall Conference Committee

Beth Reid, Co-Chair
Jason Young, Co-Chair, University of Texas at Arlington
Phillip Applebaum, Brazos HESC
Keith A. Dickson, TG
Monica Comeaux, University of Houston
Marcus Mendez, Texas A&M
John Wells, Dallas County Community College District
Jeannie Gage, Texas A&M Corpus Christi
Carmen Tanner, University of Texas at Dallas
Cathleen F Wright, Texas Lutheran University

This year's conference theme was "Pioneering New Trails." The conference committee worked very hard to provide the best training opportunities for TASFAA members. The conference was held on October 5-7, 2011 at the Arlington Convention Center, located within view of the Ballpark in Arlington as well as the Dallas Cowboys Stadium. We had sessions presented by the Department of Education, The Texas Higher Education Coordinating Board, NAFSAA Trainer Debra La Grone, NASFAA President and CEO Justin Draeger, and presenters from different schools. Presentations ranged from Professional Development to How to Use NASFAA Tools to Federal and State Updates.

The opening luncheon keynote speaker was NAFSAA President and CEO Justin Draeger. 55 people attended a tour of Cowboy Stadium on Wednesday night. We had fun at the Thursday night event, Game Night, which included dinner and live game shows like Family Feud and Minute To Win It, with Wii stations on the side that had Rock Band and Wii Resort games.

370 TASFAA members attended the conference this year, and we also raised \$1,653.00 from the silent auction and raffle to go toward the Mack Adams Scholarship. It was a challenging task to put on an informative and beneficial conference with limited funds, but I think the hard work by this year's conference committee was very successful because of the many compliments that we received.



Finance Committee

Harold Whitis, Chair, Texas A&M Health Science Center
Joe Pettibon, President, Texas A&M University
Carolyn Jones, Past-President, Collin County Community College
Tia Clary, Treasurer, Lubbock Christian University

The objective of the Finance Committee is to explore investment avenues for TASFAA assets to provide additional financial security for the future of the association. Reports are made at board meetings when actions have been taken or the counsel of the board is required. TASFAA assets are currently managed by Rodgers Capital under the direction of Gary Rodgers who has advised the association since it began a formal financial management strategy in 1998.

The strategy of TASFAA has been to maintain an amount of cash on hand to fund the operating expenses of TASFAA while considering the projected income of the association from membership dues and conference registrations. We maintain a conservative stance with regard to income and an aggressive stance on expenses. The TASFAA Board receives reports from Rodgers Capital at each meeting and decisions are made based on recommendations presented and board discussion.



The 2010-2011 year has been a challenging one for most associations as the economic status of the United States and world financial markets has been uncertain and continues to be tenuous. At the February 8, 2011 board meeting the association voted to reinvest cash reserves in the amount of \$25,000 upon the recommendation of Rodgers Capital. TASFAA maintains enough liquid assets to allow access to funds within a four day period should they be needed to fund current activities. Currently TASFAA holdings are at about 55% equities and 45% in cash and holdings. Additional fund purchases were also made in May of 2011 at the recommendation of Rodgers Capital. In response to economic events in Europe, Rodgers Capital made a move to sell our position in the Swiss Franc after approval from the Finance Committee.

Despite current economic conditions, TASFAA remains financially stable and has managed to weather some contractual commitments for conference sites that were not positive for the association. While negotiating further out seemed to be appropriate at the time, industry changes caused a drop in membership and the associated revenue sources. The actions of the TASFAA Board over the past year have been consistent with sound fiscal responsibility as stewards of association resources.

TASFAA Committee Reports

Legislative Issues Committee

Rick Renshaw, Chair, Dallas County CCD
Dr. Chris Murr, Vice-Chair, Texas State University

State

The 82nd Texas State Legislature ended on a positive note with funding cuts to the major grant programs not as deep as had been anticipated going into the session. The most significant piece of financial aid legislation to come out of the session was SB28 which added new eligibility requirements for Texas students wanting to participate in the TEXAS Grant Program. The legislation now requires state universities to use a priority model to award TEXAS Grants to students who meet higher academic standards coming out of high school beginning in 2013. HB3577 made changes to the Texas Educational Opportunity Grant that will allow TEXAS Grant eligible students to receive a TEOG grant at a community college if TEXAS Grant funding is not available. There were also the usual additions and changes to exemptions and waivers that come out of every legislative session. One important change was the addition of satisfactory academic progress requirements to some of the exemptions.

Federal

The U.S. Department of Education released its long anticipated final regulation on program integrity issues at the end of October 2010. These regulations, most of which became effective on July 1, 2011 included new requirements for satisfactory academic progress, consumer information, aid disbursement, ability to benefit, review of questionable high school diplomas, clock hour/credit hour conversion, and approval of non-degree programs for Title IV eligibility (a.k.a. Gainful Employment regs).

This Congress will be remembered mostly for its intense budget battles in the wake of the deep recession of 2008-2010 and the exploding national debt. Federal financial aid did not escape scrutiny in these budget battles. Several programs were eliminated with the signing of the FY2010 Budget, among them were the Leveraged Educational Assistance Programs (both LEAP and SLEAP), Byrd Scholarship, and Federal Direct Loan graduate student interest subsidies. Congress also reversed the decision of the previous Congress that established Two Pell's in a Single Award Year. Effective July 1, 2011 the Pell grant program returned to a single schedule Pell award for students each year. Efforts to preserve the increase of the maximum Pell at \$5550 have been successful through 2011-2012.

TASFAA's Legislative Issues Committee was very busy during the Texas 82nd Legislature working with our officers and members providing alerts to members about upcoming hearings and votes on financial aid legislations. Much credit goes to our colleagues who made time to travel to Austin, write letters, and calls legislators and aides to be able to report the successes mentioned earlier in this report. There were too many volunteers in this effort to list here.

This past fall and spring, a significant amount of time was spent in working with NASFAA leadership and Congressional senate and representative offices to amend the guidance of



ED regarding payment of Pell for summer crossover payment periods. With the elimination of Two Pell's in an Award Year, this guidance could have caused significant problems for students and schools in scheduled awards for the greatest benefit during the school year. The efforts were successful in Congress specifically voiding that guidance for the summer of 2011 in the FY2010 budget legislation. Efforts will need to continue to ensure that ED permanently amends its interpretation of the statutes and regulatory guidance in accordance with the intent expressed by Congress in this legislation.

Thank you for the opportunity to serve our great association and truly appreciate those who stepped in and helped lead the efforts during the 82nd Legislative session.

Membership Committee

Terry Bazan, Chair, Austin Community College

The Membership Committee has been working to try and increase the numbers due to the high number of lending institutions that have chosen not to renew. Memberships for TASFAA have seen a slight decrease from previous years. This is not unusual due to the changes that the financial aid industry has experienced over the past few years and many institutional budgets being reduced. We currently have 208 member institutions that have renewed their membership or joined for the first time.

2010-11 Membership Breakdown

Schools	175
Not Specified	9
Lender	11
Servicer	6
Guaranty Agency	1
Secondary Mkt	2
Govt Agency	2

Email reminders as well as telephone reminders were done to schools and individuals who were members last year and have not renewed as of yet. Many emails were returned due to undeliverable addresses due to staff no longer working at these institutions. Two institutions replied by stating that they would no longer be members.

TASFAA Committee Reports

Newsletter Committee

Melet Leafgreen, Chair, Texas Christian University
Andrew Rodriguez, El Paso Community College
Jennifer Underwood, San Jacinto College
Carlas Mack, Center for Advanced Legal Studies
Eric Cooper, University of Texas at San Antonio
Jess Black, Angelo State University
Don Buehrer, Nelnet
Alan Ahmad, Texas A&M Health Science Center

The Newsletter Committee has had a productive year. Some feedback we have received this year about the quarterly newsletter, the TASFAA Times:

“Wonderful newsletter - you and your team have done an excellent job - again!! I very much enjoyed reading it – thanks!!!”

“...I just wanted to drop a quick note to say ‘way to go.’”

“Just wanted to let you know that I think the newsletter is gorgeous! You and your team have done an outstanding job!!”

“When the newsletter went to an electronic version, I really missed being able to touch and feel it - I know, probably my age. The electronic processes for newsletters, voting, etc. have their positives, but I also think they have caused us to lose some of the presence and urgency we used to feel within TASFAA. I was a proponent of moving the electronic version of the newsletter to a PDF so that people (like me) can print it and feel it. Anyway - thanks for creating such a nice product for TASFAA!”

The Fall Issue of the TASFAA Times is in process and should be published in November. The Annual Report will be ready for publication (electronic only) sometime in November also.

We will email the report to all TASFAA members, as well as posting a message on the listserv about its availability. In addition, we will be emailing the PDF document to all college and university presidents in Texas, every member of the Texas legislature, and the Texas delegation to DC (US Senators and US House Members from Texas), as well as President Obama (392 in all). Based on research done last year, we will not print hard copies of the Annual Report.

After two years of being Chairperson, I hand over that responsibility to my able replacement, Steven Brower of Hardin-Simmons University. I will remain on the committee.

Nominations and Elections Committee

Carolyn J. Jones, Chair, Collin County Community College

Nominations were opened to the association membership in February. We had one person run for President Elect and we had 7 people run for the TASFAA Board. The slate of candidates was approved by the board at the May 2011 board meeting. The Elections took place in June and July and the results are:

President Elect

Dana Mingo, Dallas County Community College District

2 year board members

Laurie Coulter, Austin College,
Mike Carranza, South Texas College
Raul Lerma, El Paso Community College
Jason Young, UT Arlington

1 year board member

Kathleen Wright, Texas Lutheran University



TASFAA Committee Reports

Regional Training Committee

April Gonzales, Chair, University of Texas - San Antonio
Kaeli Brown, University of Texas - San Antonio
Valerie Bustillos, Tarrant County College
Shana Castillo, Texas A&M University
Minerva Chihuahua, Southern Methodist University
Monica Comeaux, University of Houston - Downtown
Delisa Falks, Texas A&M University
Shanna Hollis, Texas Wesleyan University
Rodney Landrum, Discover Student Loans
William Mack, University of Texas - Dallas
Consuela Mitchell, Tarrant County College
Sharon Mullins, Lee College
Patrick Reyna, Baptist Health System School of Health Professions
Andrew Rodriguez, El Paso Community College
Caren Stewart, ATI Enterprises, Inc.
Denise Welch, Panola College



The above list includes all TASFAA Regional Training Committee Members for 2010-11. In order to reduce TASFAA travel cost we will reduce the total number of committee members to five. Several individuals on the list above have expressed interest in assuming a Regional Training Vice-Chair position for the upcoming year.

TASFAA Regional training became part of a collaboration known as The Texas Regional Training that included partnerships with TG and THECB in effort to create full-day training while targeting our Texas financial aid administrators. For 2010-2011 the sites and dates of when the training occurred are listed below:

1. **Lubbock** 11/19/2010
2. **El Paso** 2/18/2011
3. **DFW** 3/11/2011
4. **Austin** 5/10/2011
5. **Corpus** 5/12/2011
6. **Houston** 5/25/2011

The Agenda for 2010-2011 incorporated the six topics listed below that involved presenters from the three agencies:

1. TG Presents: Embracing Change Promoting Excellence
2. TG Presents: Getting a Handle on Federal Financial Aid Policy Changes
3. TASFAA presents: NASFAA Fall Training : An Institutional Approach to Developing and Updating COA Components - Part I
4. TASFAA Presents: COA Hands-On Session - Part II
5. THECB Presents: Getting a Handle on State Issues
6. TG Presents: Financial Literacy on Your Campus

The Tentative Agenda for 2011-12 includes the following:

- | | |
|------------------------------|---|
| 1. Doing More With Less | TG (prof dev) |
| 2. THECB Topic* | THECB |
| 3. TASFAA Topic* | TASFAA (NASFAA topic TBD - expected to be Verification/SAP) |
| 4. Show What You Know(SWYK)* | Joint presentation - TG/TASFAA/THECB
Time may be expanded to 1 ½ hrs or session presented before/after lunch |
| 5. Shattering Common Myths | TG (default) |

TASFAA Committee Reports

Regional Training Committee (cont'd)

The overall number of attendees increased from 171 to 288 when compared to last year's total during 2009-2010. We would like to strengthen the numbers of attendees and thought it would be best to eliminate one site from the six sites from 2010-11 in order to focus and attract more attendees to attend the San Antonio site. We realize that to successfully accomplish this it will require more timely announcements through our web portals and list serves.

Locations of where the training will be offered (TBD), the cities and dates for 2011-2012 are listed below:

Draft TX regional schedule/Cities/Dates 2011-2012

FALL

Lubbock,Tx	Dec 6, 2011	Lubbock Christian-confirmed by Esmeralda/TG
Dallas,Tx	Dec 8, 2011	Dallas Baptist Univ & UNT Dallas suggested - TG will research sites

SPRING

San Antonio,Tx	Feb 7, 2012	UTSA DT/St. Mary's discussed - April Gonzales/UTSA will research sites
El Paso,Tx	Feb 21, 2012	El Paso Community College - TBC by Vincent Carales/TG
Houston,Tx	March 6, 2012	HCC not recommended - Keith Dickson/TG to research

During our recap meeting we wanted to be able to continue to provide a substantial Texas Regional Training however we also needed to look at areas where we can eliminate or reduce costs for the agencies. We have suggested the following items in order to achieve this goal for 2011-12:

- No registration fee for participants will continue
- Participants will be expected to print all training handouts available online; TG will review number of attendees that did not pre-register in order to project how many on-site copies of handouts will need to be available (TBA)
- Participants will complete electronic evaluation survey after attending training
- Free Box Lunches will be served instead of catering
- Narrowed training down to five sites will reduce travel cost
- No folders or supplies will be offered

After reviewing the feedback the participants included in their evaluations we have considered the following:

- We will reduce our overall training time by including a working lunch (TBD)
- Include more interactive topics
- Suggestions from the participants on future trainings are being considered; however TASFAA will still review whether to incorporate NASFAA's Decentralized Training topic as an option as well. This year it is expected to cover SAP
- We wanted to see an improved collaboration among the three agencies and decided to include a session which all three agencies can present together: **Show What You Know(SWYK)*** Joint presentation - TG/TASFAA/THECB

Site Selection Committee

JimAnn Batenhorst, Chair, South Plains College
Phillip Applebaum, Brazos HESC

The fall 2011 TASFAA Conference will be held at the Arlington Convention Center and the Sheraton Hotel. NAOW 2011 moved from San Antonio to the Arlington Hilton. Returning to the Arlington Hilton for the NAOW 2012 is under consideration. The TASFAA Fall 2012 Conference will be held at the Omni Hotel Corpus Christi. We will continue to negotiate and review our contract as the time approaches. We have been contacted by several hotels concerning the fall 2013 conference; however, no official plans have been made at this time.



TASFAA Committee Reports



Training Committee

Erin M. Porter, Chair, Texas A&M University
Valerie N. Bustillos, Tarrant County College
Vincent D. Carales, TG
Yolanda M. Cleveland, University of Texas - Arlington
April M. Gonzales, University of Texas - San Antonio
William H. Mack, University of Texas - Dallas
Marcos J. Mendez, Texas A&M University
Consuela P. Mitchell, Tarrant County College
Elena Olivier, San Jacinto College
Denise Welch, Panola College
Devon Wiggins, Tyler Junior College

The TASFAA Training is proud to have once again successfully executed the two introductory training programs offered by the association for new financial aid professionals each year. Both programs were well attended considering the current economic climate and the reduced budgets many schools may be facing. Many of the members of the Training Committee had served the previous year, and in some cases have been on the committee for several years. It is a great group of dedicated and hardworking people who worked tirelessly to make these programs a success.

On May 16 - 19, 2011 the annual New Aid Officers Workshop (NAOW) was held at the Hilton Arlington in Arlington, TX. This program is always a fun event as it incorporates teambuilding and networking activities along with session on financial aid fundamentals. Fifty-two new financial aid professionals participated in NAOW this year. Participants attended sessions ranging from student eligibility and verification to loan repayment and customer service in financial aid. This year, the workshop had a Rock Star theme that was incorporated throughout the presentations and activities. Participants were treated to a lively performance of "Financial Aid Rhapsody" (sung to the tune of Queen's Bohemian Rhapsody) given by the Training Committee. Participants were then challenged to work in teams to write and perform their own financial aid rock songs. The teams performed these for the TASFAA Board at dinner on Tuesday night and the Board served as judges. I think everyone in attendance would agree it was a fun night and it appears that the financial aid profession in Texas has some pretty creative people joining its ranks!

Most recently, the 2011 ABC Workshop took place on October 4th and 5th, at the Arlington Convention Center, just prior to

the annual TASFAA Fall Conference. A total of sixty-five new financial aid professionals attended the day and a half long workshop. Traditionally, this workshop has taken a "nuts and bolts" approach and includes sessions covering the basics of financial aid. Session topics included the application process, student eligibility, verification, satisfactory academic progress and professional judgment. Sessions also covered the federal grant and loan programs and packaging. We were once again pleased to have the Texas Higher Education Coordinating Board's Lyn Kinyon present a session on state programs to round out the agenda. Attendees completed evaluations of each session as well as the overall workshop and feedback was very positive overall. As always, the committee will take all suggestions for changes and improvements in to consideration when planning next year's training programs in order to continually improve the workshops and ensure they meet the needs of the association and its members.

Planning is already underway for the 2012 New Aid Officers Workshop. It will be held in Arlington, TX during the week of May 13, 2012 at the Sheraton Hotel. Based on feedback on evaluation forms completed at previous workshops, we intend to shorten the duration of the workshop slightly, while still incorporating the teambuilding and networking activities that attendees told us helped them make vital connections with other financial aid officers. The 2012 ABC Workshop will take place prior to the Fall Conference at the Omni Hotel in Corpus Christi, TX. The Training Committee is currently reviewing evaluations collected from the 2011 ABC Workshop attendees.

This year, beginning steps were taken to transition promotion and coordination of the TASFAA Mentor and Protégé Program under the responsibilities of the Training Committee. It is believed that since the Training Committee puts on the two primary training events for new hires in financial aid, this committee could effectively promote the mentoring program at these events. We have received a great response from new financial aid professionals wanting to be matched with an experienced mentor. Efforts are now underway to recruit more mentors to match with waiting protégés. Mentoring takes little time but can make a world of difference to some new to this profession. Mentors are simply asked to maintain contact with their protégé through periodic phone calls or email, and to be available for questions they may have. Mentors can share how they research questions, be a sounding board to bounce professional judgment scenarios off, or simply chat about how they handle the stressors of the financial aid office. There is no required amount of experience or specific title you need to have to be a mentor. We will attempt to match mentors and protégés from similar type schools if possible. If you are interested in mentoring someone new to the world of financial aid, please contact Erin Porter at eporner@tamu.edu or 9798-458-5401 or complete the Mentor and Protégé Form under Member Services/ Online Forms at www.tasfaa.org.

I look forward to another year as Chair of the TASFAA Training Committee and to working with all of the wonderful people who have agreed to serve on the committee again, as well as the new folks who will join us this year.

2010-2011 TASFAA Service Awards

Trailblazer Award

Alan Ahmad
Texas A&M Health Science Center

Star Advisor Award

Erin Porter
Texas A&M University



Service Pin Recipients

45 Years of Service

Pamela J. Davenport

40 Years of Service

Virginia F Jorgensen

35 Years of Service

Espy Adams
Paula Cook-Shivers
Linda A Gonzalez-Hensgen
Glenda R Huff
Daniel Lau
Lesa W Moller
Frances Moreno

30 Years of Service

Craig Adamson
Gloria Baltazar
Midge Berlowe
Paul Blake
Nancy Briseno
Colleen L Franklin
Mary Gallegos-Adams
Bob Haushalter
Phyllis D Ocheltree
Elena Olivier
Marie P Saldana
Jeannie Shore
Gloria H Snell
Julie Trejo
Georgiana Velarde
Ron Williams

25 Years of Service

Deborah Arnold
JimAnn Batenhorst
Wilbert A Brown
Connie Cooper
Carol Cromie
Melinda M Cullins

Brazosport College

Mims Classic Beauty College

El Paso Community College
Texas Woman's University
El Paso Community College
Howard Payne University
Access Group, Inc.
Texas Higher Education Coordinating Board
El Paso Community College

DeVry University - Irving
El Paso Community College
South Texas College of Law
Texas Tech University
Del Mar College
Southern Methodist University
Texas State Technical College - Harlingen
Access Group, Inc.
Lone Star College System
San Jacinto College District
Texas A&M University - Kingsville
Culinary Academy of Austin
Texas State University-San Marcos
El Paso Community College
University of Texas - Brownsville & Texas Southmost College
University of Texas - El Paso

University of North Texas
South Plains College
Dallas County Community College
Texas Higher Education Coordinating Board
University of Texas Medical Branch - Galveston
Sul Ross State University

2010-2011 TASFAA Service Awards

25 Years of Service (cont'd)

William S. Delgado

Ed Ephlin

Elizabeth Felan

Esmeralda M Flores

Yolanda Gibson

Jose M Guerrero

Rinn D Harper

Kara K Hipchen

Richard E Jorgensen

Pamela A Lucas

Angie McLeroy

Kathy M Pennartz

Beth B Reid

Robert K Sandlin

Annabelle L Smith

Caren A Stewart

Rosie M Terrell

Marita Titus

Diane Todd Sprague

Jose R Torres

Debra A Urias

John W Wells

Sandy K Wilkerson

Northeast Lakeview College/ACCD

Navarro College

Northwest Vista College

Our Lady of the Lake University

Austin Community College

North Texas Higher Education Authority/HESC

TG

Lone Star College System

Mims Classic Beauty College

El Centro Community College

Trinity Valley Community College

Midwestern State University

University of Texas - Arlington

North Texas Higher Education

Central Texas College

ATI Enterprises, Inc.

Dallas County Community College District

Dallas County Community College District

University of Texas - Austin

University of Texas - El Paso

Brazos HESC

El Centro Community College

DeVry University - Houston

20 Years of Service

Araceli Alvarez

Cora Battle

Kim N Canady

Dana A Cazares

Albert Contreras

Tillie Flores

Glendi J Gaddis

Steve Greenough

Ron Hancock

Kevin Harris

Bryan E Hilburn

Patricia Johnson

Carolyn J Jones

Lois S Jordan

Terry D. Kelley

Rodney Landrum

Roy E Lopez

Resa McBride

Richard Sapp

Tara T Thomas-Smith

Shana Thompson

Debra G Wilkison

ET Winzer

Clarence Wolfe

Thomas D Young

University of Texas - Houston Health Science Center

University of Houston - Downtown

University of Texas - San Antonio

Texas A&M University

Texas Higher Education Coordinating Board

Texas State Technical College - Harlingen

Trinity University

American Student Assistance

Nelnet

TG

University of Texas - San Antonio

Court Reporting Institute of Dallas

Collin County Community College

Kilgore College

Ocean Corporation (The)

Discover Student Loans

Texas A&M University

USA Funds

TG

Westwood Institute of Technology

Northwood University

Collin County Community College

Chase

Prairie View A&M University

East Texas Baptist University



2010-2011 TASFAA Service Awards

15 Years of Service

Gloria Armstrong
Lorraine A Ast
Mary A Banos
Irish N Beason
Cindy Best
Teresa Bobadilla
Crystal R Brennan
Sharla Coursey
Melissa J Elliott
Joyce A Fox
Elsa Garcia
Nancy Gil
Dr. Janetta C Gilliam
Denise Hall
Rhonda K Hoover
Rodolfo G Klebahn
Karen LaQuey
Carolyn R Mallory
Cynthia L Marrs
Lynda McKendree
Bill McMullen
Lou Murray
Rita Pando
Kay Pearson
Tracie Perez
Nancy A Ramirez
Beverly A Reeser
Letty Reyes
Mary Roffino
Susan R Russell
Julie Shaddox
Matthew D Siegel
Lacey D Thompson
Kristina Tirloni
Roy Tuberville
Cynthia A Tudor
Esmeralda T. Varela
Cindi L Vassaur
Rene Villarreal
Denise Welch
Gina Wesolowski

Prairie View A&M University
Southwestern Assemblies of God University
Collin County Community College
Stephen F. Austin State University
Wells Fargo Education Financial Services
TG
University of the Incarnate Word
Southern Methodist University
Vernon College
University of Texas - San Antonio
Texas A&M University - Corpus Christi
Alamo CCD
Prairie View A&M University
University of Houston
Texas A&M University - Texarkana
Alamo CCD
Wayland Baptist University
University of Houston - Victoria
TG
University of St. Thomas
Brookhaven College
Nelnet
El Paso Community College
University of Mary Hardin-Baylor
Texas A&M University - Corpus Christi
University of Texas - Brownsville & Texas Southmost College
Collin County Community College
Texas State University
University of Texas - Dallas
Hill College
Texas A&M University
Tarleton State University
University of North Texas
TG
El Paso Community College
Baylor University
TG
Texas A&M University
University of Texas - Brownsville & Texas Southmost College
Panola College
Anthem College



2010-2011 TASFAA Service Awards

10 Years of Service

Maria D Basurto

Marci Beasley

Pete Becerra

Tina L Belcher

LaTonya M Branch

Christina Brown

Johnny Brown

Toni L Bryant

Carey C Burling

Jean M Byers

Randolph D Byrd

Ferrisa M Childs

Susan Coker

Terri L Colley

Cindy Crosley

Craig M Czubati

Janet L Daniels

Brad Davis

Shelina C. Everett

David D Fountain

Jeannie Gage

Juan M Galvan

Adeline T Garza

Lynn Gonzalez

Jalayne M Hicks

Victor T Iguchi

Bridgette Ingram

Petra Jansky

Yennie G Jimenez

Jackie F Kelley

Laurie S Kitchen

Nacole I Lacey

Dana Langley

Scott A Lapinski

Yolanda Leal

Detra Levige

Senta S. Macaraeg

Emma G Magana

Kris Marquis

Teresa N. Mason

Tameka N Milligan

Stephanie G Nonnemacher

Marcia Olvera

Elias Ozuna

Christina Palacios

Mayra G Payan

Stacey R Prieto

Tom Rebstock

Mary Ann Reed

Sharon C Reynolds

Elvira R Rodriguez

Royal Beauty Careers

Texas Tech University

Center for Advanced Legal Studies

South Plains College

Brookhaven College

Houston Community College System

Texas Tech University

Schreiner University

San Jacinto College

St. Edward's University

Texas Southern University

Howard Payne University

Parker College of Chiropractic

Sam Houston State University

Midwestern State University

ATI Enterprises, Inc.

University of Texas - San Antonio

Texas Christian University

Dallas County Community College

Texas A&M University

Texas A&M University - Corpus Christi

South Texas College

Wharton County Junior College

TG

Houston Community College System

San Antonio College

Texas A&M University

Wharton County Junior College

University of Texas - Houston Health Science Center

Kilgore College

Kilgore College

Brookhaven College

Baylor University

University of North Texas

University of Texas - Brownsville & Texas Southmost College

College of the Mainland

University of North Texas

St. Mary's University

Lon Morris College

El Centro Community College

University of Texas - El Paso

Southern Methodist University

University of Texas - El Paso

University of Texas - Pan American

Tarrant County College

University of Texas - Arlington

San Jacinto College

TG

Amarillo College

Austin Community College

University of Houston - Downtown

2010-2011 TASFAA Service Awards

10 Years of Service (cont'd)

Carolina R Sanchez
Ingeborg M Schey
Sharon K Shaw
Samreen Siddiqui
Joanna M Simmons
Lorna K Smith
Marjo Stach
Jessica M Thomas
Joyre Thomas
James Townsend
Mona L Trent
Nichole M Tullous
Nicolas Valdes
Fabian Vasquez
Suzanne S Weems
Robert M Young

International Academy of Design and Technology
Temple College
Richland College
Houston Community College System
San Jacinto College
Lubbock Christian University
Lone Star College System
University of Houston
Texas Southern University
LeTourneau University
Lamar University
St. Edward's University
University of Texas - El Paso
Texas Tech University Health Sciences Center
Baylor University
Tarrant County Col



Financial Statement

2010-2011 TASFAA Financial Statement Summary

<u>Income</u>	<u>Actual</u>	<u>Budget</u>	<u>Variance</u>
ABC Workshop Fees	\$6,280.00	\$7,500.00	\$(1,220.00)
Fall Conference Fees - Current	\$101,185.00	\$11,500.00	\$(13,815.00)
Fall Conference Fees - Prior	\$1,500.00	\$1,500.00	\$-
New Aid Officers Workshop Fees	\$26,687.50	\$27,000.00	\$(312.50)
Membership Dues	\$36,000.00	\$43,000.00	\$(7,000.00)
Investment Income	\$129.37	\$5,700.00	\$(5,570.63)
Scholarship Fundraiser	\$1,633.00	\$1,000.00	\$633.00
Vendor Exhibit Income	\$1,300.00	\$-	\$1,300.00
Total Income	\$174,714.87	\$200,700.00	\$(25,985.13)
<u>Expenses</u>			
<u>Administrative</u>			
Board of Directors	\$15,543.50	\$15,000.00	\$543.00
Travel			
Past President	\$883.16	\$1,500.00	\$(616.84)
President		\$-	\$-
President-Elect	\$2,284.73	\$3,000.00	\$(715.27)
Trailblazer Award	\$2,338.08	\$2,500.00	\$(161.92)
Stipend - Secretary	\$2,400.00	\$2,400.00	\$-
Stipend - Treasurer	\$4,200.00	\$4,200.00	\$-
President's Discretionary	\$46.35	\$-	\$46.35
Audit	\$2,400.00	\$2,400.00	\$-



Financial Statement

Financial Statement Summary (cont'd)

Insurance	\$3,346.00	\$3,346.00	\$-
Administrative/Misc	\$5,622.55	\$4,500.00	\$1,122.55
<u>Committee Expenses</u>			
Standing Committees			
Fall Conference Committee	\$2,780.45	\$3,000.00	\$(219.55)
Training Committee	\$28.08	\$500.00	\$(471.92)
Ad Hoc Committees			
Legislative Issues		\$-	\$-
Newsletter/Public Relations Comm.	\$650.00	\$1,000.00	\$(350.00)
Regional Training Committee	\$466.65	\$467.00	\$(0.35)
Site Selection Committee		\$-	\$-
<u>Operational Expenses</u>			
Database Services	\$5,620.00	\$5,800.00	\$(180.00)
Fall Conference - Current	\$84,654.14	\$100,000.00	\$15,345.86)
Fall Conference - Prior		\$-	\$-
High School Partnership Training	\$201.46	\$201.00	\$0.46
Mack Adams Scholarship	\$800.00	\$1,000.00	\$(200.00)
Regional Training	\$1,484.84	\$1,485.00	\$(0.16)
Award Pins, Plaques and Cert.	\$135.00	\$-	\$135.00
Training - NAOW/ABC	\$37,279.12	\$45,000.00	\$(8,220.88)
Total Expenses	\$173,164.11	\$197,799.00	\$(24,634.89)
Net Income (Loss)	\$1,550.76	\$2,901.00	\$(1,350.24)



**Texas Association of Student Financial Aid Administrators
Audited Statement of Cash Receipts and Cash Disbursements
November 1, 2009 through October 31, 2010**

Independent Auditor's Report

To the Executive Committee
Of Texas Association of Student Financial Aid Administrators

We have audited the accompanying statement of cash receipts and cash disbursements of Texas Association of Student Financial Aid Administrators for the year ended October 31, 2010. This financial statement is the responsibility of Texas Association of Student Financial Aid Administrators. Our responsibility is to express an opinion on this financial statement based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the statement of cash receipts and cash disbursements is free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the statement of cash receipts and cash disbursements. An audit also includes assessing the accounting principles used and significant estimates made by the organization, as well as evaluating the overall presentation of the statement of cash receipts and cash disbursements. We believe that our audit provides a reasonable basis for our opinion.

As described in Note 1, this financial statement has been prepared on the cash receipts and cash disbursements basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

In our opinion, the accompanying statement of cash receipts and cash disbursements referred to above presents fairly, in all material respects, the cash receipts and cash disbursements of Texas Association of Student Financial Aid Administrators for the year ended October 31, 2010, on the basis of accounting described in Note 1.

Gary, Bowers & Miller

Gary, Bowers & Miller
December 20, 2010

Financial Report

Texas Association of Student Financial Aid Administrators Statement of Cash Receipts and Cash Disbursements Fiscal Year Ended October 31, 2010

Balance as of November 1, 2009	
Plains Capital Bank Checking	\$ 38,840
Plains Capital Bank Savings	897
Wells Fargo Bank Certificates of Deposit	15,000
Chase Bank Certificates of Deposits	18,445
Total Cash and Cash Equivalents as of November 1, 2009	\$ 73,182
Cash Receipts	
Membership Dues	\$ 50,700
Fall Conference Fees	106,529
Workshops, Spring Conference, Regional Rallies and Other Income	42,858
Distribution from ING Investment Account	9,000
Investment Income	177
Total Cash Receipts	\$ 209,264
Cash Disbursements	
Current Year Fall Conference Expenses	\$ 70,448
Prior Year Fall Conference Expenses	30,111
Workshop, Training, and Regional Rally Expense	56,327
Board of Directors Expenses	17,298
Fall Conference Committee	4,123
Communications Network	4,635
Regional Training Opportunities Committee	5,548
Other Committee & Training Expenses	1,796
Secretary's, Treasurer's, and Assistant's Stipends	6,600
Travel	9,095
President's Discretionary Fund	605
Scholarships	1,402
Other Expenses	17,357
Total Cash Disbursements	\$ 225,345
Cash Disbursements in Excess of Cash Receipts	\$ (16,081)
Balance as of October 31, 2010	
Plains Capital Bank Checking	\$ 46,020
Plains Capital Bank Savings	1,081
Chase Bank Certificates of Deposits	10,000
Total Cash and Cash Equivalents as of October 31, 2010	\$ 57,101

The accompanying notes are an integral part of these financial statements.

**Texas Association of Student Financial Aid Administrators
Notes to Statement of Cash Receipts and Cash Disbursements
Fiscal Year Ended October 31, 2010**

Note 1 – Summary of Significant Accounting Policies

Purposes

The Texas Association of Student Financial Aid Administrators (TASFAA) is organized for educational and research purposes of its membership and shall not be operated for profit. In furthering these general purposes, TASFAA shall be dedicated to:

1. Encouraging qualified students to pursue a higher education and assisting them to obtain the financial aid they need to achieve this goal.
2. The success of the students who pursue their higher education in Texas.
3. Promoting the effectiveness of the student financial aid programs in the post secondary institutions of the state.
4. Uniting and facilitating communication among all persons, agencies, organizations and institutions involved or interested in any phase of student financial aid administration in the state.
5. Sponsoring those activities and services, which will serve its members and society and provide them a means of exchanging and distributing professional information and ideas.
6. Promoting the professional development and competency of its members and others who support financial aid administration in the state.
7. Maintaining and improving professional standards in the field of student financial aid administration.
8. Stimulating creative and experimental activities in the financial aid field.

Basis of Accounting and Financial Statement Presentation

The Accounts of TASFAA are maintained on a cash basis. Accordingly, certain revenues and the related asset accounts are recognized when received rather than when earned and certain expenses are recognized when paid rather than when the obligation is incurred.

Tax Status

TASFAA is exempt from federal income taxation under Section 501(c) (3) of the Internal Revenue Code.

Cash and Cash Equivalents

For purposes and convenience of reporting, cash and cash equivalents are considered to be all cash and certificates of deposit.

Financial Report

Investment Policy

Treasurer will keep cash on hand in an amount sufficient to meet expenses and to prevent overdrafts or service charges. Investments, stocks, bonds, and certificates of deposit amounts and distributions will be monitored by the Board.

Note 2 – ING Investment Account

TASFAA maintains an investment account at ING. This account is not reported on the statement of cash receipts and disbursements, as it does not meet the definition for cash and cash equivalents in this cash basis financial statement. A summary of activity in this account at fair market value is as follows:

Beginning Balance November 1, 2009	\$ 252,956
Interest and Dividends Earned	6,997
Fees Paid	(2,599)
Distributions	(9,000)
Unrealized Net Gains (Losses)	22,023
Ending Balance October 31, 2010	<u>\$ 270,377</u>

Note 3 – Off-Balance Sheet Credit Risk

TASFAA maintains bank accounts as well as certificates of deposit at three banks. Accounts at an institution are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000. Cash at all three institutions were under federally insured limits at October 31, 2010.

TASFAA maintains a brokerage account. This brokerage account is insured by the Securities Investor Protection Corporation. The SIPC provides protection for all securities registered in TASFAA's name in amounts up to \$500,000 per customer for failure of a brokerage house. At October 31, 2010, the balance at the brokerage house did not exceed this insured amount.

Note 4 – Subsequent Events and Date of Management Review

There are no subsequent events to report. Subsequent events were evaluated through December 20, 2010, which is the date the financial statements were available to be issued.



Pioneering New Trails



The TASFAA Annual Report was created by

**the Newsletter/
Public Relations
Committee**

Texas Association of Student Financial Aid Administrators